

LOUISIANA BOARD OF ETHICS
MINUTES
July 15, 2016

The Board of Ethics met on July 15, 2016 at 9:00 a.m. in the LaBelle Room on the 1st floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Bruneau, Ingrassia, Leggio, McAnelly, Michiels, Shaddock and Smith present. Absent were Board Members Lavastida, Monroe and Shelton. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Deborah Grier; and Counsel Tracy Barker, Jennifer Land, Suzanne Mooney, Brett Robinson and Haley Williams.

The Board considered a request for reconsideration in Docket No. 16-062 for a waiver of the \$1,500 late fee assessed against Keith Brian Elbourne M.D., Lane Memorial Regional Medical Center (Hospital Service District #1), for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 210 days late. On motion made, seconded and unanimously passed, the Board temporarily deferred consideration of the request until later in the meeting.

Ms. Shelton arrived at the meeting at 9:11 a.m.

Mr. Brad Lee Bockhaus, Bossier Parish School Board - District 2, appeared before the Board in connection with a request in Docket No. 16-157 for reconsideration of a waiver of the \$1,400 late fee assessed against him for filing his 2014 Tier 2 Annual personal financial disclosure statement 14 days late. On motion made, seconded and unanimously passed, the Board granted the reconsideration request submitted by Mr. Bockhaus. After hearing from Mr. Bockhaus, on motion made, seconded and unanimously passed, the Board waived the \$1,400 late fee.

The Board considered a request for reconsideration in Docket No. 16-159 for a waiver of the \$1,500 late fee assessed against Adrienne Sage Hodges Steele, Northeast Louisiana Film

Commission, for filing her 2013 Tier 2.1 Annual personal financial disclosure statement 125 days late. On motion made, seconded and unanimously passed, the Board temporarily deferred consideration of the request until later in the meeting.

Mr. Lloyd Thompson, President of the NAACP-Northwest Region, Dr. Artis Cash, Chairman of the National Action Network in Shreveport, and Mr. R. Gray Sexton, attorney for the NAACP-Northwest Region and the National Action Network, appeared before the Board in connection with a request for an advisory opinion in Docket No. 16-475 regarding certain transactions involving the LSU Health Sciences Center in Shreveport. On motion made, seconded and passed by a vote of 6 yeas by Board Members Bruneau, Ingrassia, Leggio, McAnelly, Michiels and Shaddock and 2 nays by Board Members Shelton and Smith, the Board declined to render an advisory opinion, since the NAACP-Northwest Region and the National Action Network did not have standing to request the opinion.

Mayor Joey Normand, Town of Brusly, appeared before the Board in connection with a request for an advisory opinion in Docket No. 16-547 regarding whether he may request a servitude reduction from the Town Council. On motion made, seconded and unanimously passed, the Board allowed Mayor Normand to withdraw the request and declined to render an advisory opinion, since Mayor Normand will not request the servitude while he is in office.

The Board considered a request for an advisory opinion in Docket No. 16-565 regarding whether Jan Clary, as a former employee of the Office of Workers' Compensation Administration, can assist attorneys and insurance companies, as an independent legal nurse consultant, on workers' compensation cases. On motion made, seconded and unanimously passed, the Board concluded that no violation of the Code of Governmental Ethics would be presented if Ms. Clary assists with

workers' compensation cases that do not involve any matter pending with the Section or a Disputed Claim for Compensation resulting from a decision rendered by the Section while she was employed as the manager, within two years following her resignation, since as the OWCA Section manager, Ms. Clary was an agency head and deemed to have participated in all transactions involving that agency.

Ms. Cindy Bishop appeared before the Board in connection with a request in Docket No. 16-636 for a waiver of the \$100 late fee assessed for her failure to timely file a Supplemental lobbying registration report. After hearing from Ms. Bishop, on motion made, seconded and unanimously passed, the Board declined to waive the \$100 late fee but suspended the entire late fee conditioned upon future compliance with the Lobbyist Disclosure Act and provided that Ms. Bishop amend the Supplemental registration report.

Mr. Steven Verlin Slaton, a member of the Board of Veterinary Medicine, appeared before the Board in connection with a request in Docket No. 16-604 for a waiver of the \$1,500 late fee assessed against him for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 107 days late. After hearing from Mr. Slaton, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics.

Mr. Milton Edward "Butch" Stewart, a former member of the Kisatchie Delta Regional Planning and Development District Workforce Investment Board, Area #60, appeared before the Board in connection with a request in Docket No. 16-607 for a waiver of the \$1,500 late fee assessed against him for filing his 2014 Tier 2.1 Annual personal financial disclosure statement 201 days late. After hearing from Mr. Stewart, on motion made, seconded and unanimously passed, the

Board declined to waive the \$1,500 but suspended \$1,300 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request for reconsideration in Docket No. 16-062 for a waiver of the \$1,500 late fee assessed against Keith Brian Elbourne M.D., Lane Memorial Regional Medical Center (Hospital Service District #1), for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 210 days late. On motion made, seconded and unanimously passed, the Board continued the matter.

The Board considered a request for reconsideration in Docket No. 16-159 for a waiver of the \$1,500 late fee assessed against Adrienne Sage Hodges Steele, Northeast Louisiana Film Commission, for filing her 2013 Tier 2.1 Annual personal financial disclosure statement 125 days late. On motion made, seconded and unanimously passed, the Board continued the matter.

The Board recessed at 10:23 a.m. and resumed back into general business session at 10:41 a.m.

On motion made, seconded and unanimously passed, the Board agreed to take action on items G9-G25 en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G9-G25 taking the following action:

Adopted an advisory opinion in Docket No. 16-477 concluding that under the facts presented, generally, Section 1121B(1) of the Code of Governmental Ethics would not prohibit Divina Lanclos,

a former Engineer Intern with the Department of Health and Hospitals, Office of Public Health (DHH-OPH), from seeking employment with engineering firms to work on new projects that will be submitted to DHH-OPH. However, Ms. Lanclos is prohibited from contracting with or assisting another person for compensation in a transaction with DHH-OPH on any project she participated in at any time during her public service. Additionally, Section 1121C of the Code of Governmental Ethics prohibits Ms. Lanclos' future employer, for two years after the termination of her public service, from assisting another person, for compensation, in a transaction, or in an appearance in connection with a transaction in which she participated in during her service with DHH-OPH.

Adopted an advisory opinion in Docket No. 16-540 concluding that no violation of the Code of Governmental Ethics is presented by Sharon Green accepting employment with Wiley College while being employed by Southern University Shreveport (SUSLA), since her hours worked for Wiley College would not be performed while she was working for SUSLA. Further, Wiley College does not have nor is it seeking a contractual, business, or other financial relationship with SUSLA.

Adopted an advisory opinion in Docket No. 16-545 concluding that no violation of the Code of Governmental Ethics is presented by the faculty and staff of Southeastern Louisiana University from transacting with the Louisiana Athletic Association to rent a booth at the craft fair held at the Pennington Center to benefit the Golf Team.

Adopted an advisory opinion in Docket No. 16-546 concluding that no violation of the Code of Governmental Ethics is presented by Amber Narro, Southeastern Louisiana University Associate Professor, from utilizing the Southeastern Logo license she has acquired to produce items for sale at area establishments. The Board further cautioned that Section 1111C(2)(d) would prohibit Ms. Narro from selling items to establishments which have a business or financial relationship with the

Journalism or Golf Departments of the University.

Adopted an advisory opinion in Docket No. 16-550 concluding that Section 1111A of the Code of Governmental Ethics would prohibit Superintendent of Education John White from being reimbursed directly for his travel expenses from Harvard University in connection with his participation in a seminar at Harvard University's John F. Kennedy School of Public Policy, as his attendance at the seminar arises from his job duties and responsibilities as State Superintendent of Education. However, Section 1123(41) of the Code of Governmental Ethics provides an exception to the prohibition as long as Mr. White files a disclosure statement within 60 days of receipt of the complimentary travel expenses. In addition, since Harvard University is not a prohibited source as defined by Section 1115 of the Code of Governmental Ethics, the university is not prohibited from making a donation to the Louisiana Department of Education as long as such donation is unconditional. Although, Harvard University may suggest how it wishes the donation to be used.

Adopted an advisory opinion in Docket No. 16-551 concluding that Janie Doucet Frugé, CEO of West Calcasieu Cameron Hospital, is not required to file Annual personal financial disclosure statements pursuant to Section 1124.2.1 of the Code of Governmental Ethics, since she does not serve as a commissioner or act as a designee of the commission.

Allowed the withdrawal of a request for an advisory opinion in Docket No. 16-552 regarding whether Patrick Campbell, son-in-law of Monroe City School Board member Vickie Elaine Fowler Dayton, may submit bids on behalf of his company to the Monroe City School System, since neither Jackson Paper Company nor Mr. Campbell want to submit bids to the Monroe City School System.

Adopted an advisory opinion in Docket No. 16-553 concluding that no violation of the Code of Governmental Ethics is presented by the Louisiana Legislative Women's Caucus Foundation

awarding a scholarship to an adult child of an employee of the Louisiana House of Representatives or Senate, since a public employee's child, who is over 18 years of age, is not subject to the prohibitions set forth in Sections 1111A and 1115 of the Code of Governmental Ethics.

Adopted an advisory opinion in Docket No. 16-554 concluding that no violation of the Code of Governmental Ethics is presented by Terri Courvelle serving as a member of the St. Landry Parish Fire District No. 3 Board of Commissioners (District Board) while Matthew Rabalais, her brother-in-law, and David Courvelle, her husband, are employed by the District, since the appointing authority to the District Board is the St. Landry Parish Council and is not under the supervision or jurisdiction of David Courvelle or Matthew Rabalais' agency. The Board further advised that Mr. Rabalais and Mr. Courvelle would be allowed to continue their employment pursuant to Section 1119C(2) of the Code of Governmental Ethics, since they have been employed for over a year prior to Ms. Courvelle being appointed to an agency head position. However, Section 1112B of the Code of Governmental Ethics would prohibit Ms. Courvelle from participating in any transaction in which Mr. Rabalais or Mr. Courvelle has a substantial economic interest.

Adopted an advisory opinion in Docket No. 16-561 concluding that Section 1121B of the Code of Governmental Ethics would prohibit Sheila Clark, an employee of the DeSoto Parish School System, from contracting with DPSS to provide support and service to the DeSoto Early Childhood Network Program Partners by performing LASS observations at DeSoto Parish schools for two years following her retirement, since Ms. Clark performed CLASS observations on behalf of DPSS during her employment.

Adopted an advisory opinion in Docket No. 16-562 concluding that no violation of the Code of Governmental Ethics is presented by Wayne J. Landry, a former member of the St. Bernard

Hospital Service District Board, being hired by the St. Bernard Parish Hospital Board as the Executive Director for the St. Bernard Parish Hospital, since Mr. Landry clearly evidenced his termination from the St. Bernard Hospital Service District Board by letter dated May 19, 2014 to the St. Bernard Parish Council stating that his resignation was effective on May 20, 2014 at 3:00 p.m. and the two year prohibition set forth in Section 1121A(2) of the Code of Governmental Ethics expired on May 20, 2016 at 3:00 p.m.

Adopted an advisory opinion in Docket No. 16-563 concluding that no violation of the Code of Governmental Ethics is presented by the appointment of Wendell S. Morgan a former employee of the Imperial Calcasieu Human Services, to the Imperial Calcasieu Human Services Authority.

Adopted an advisory opinion in Docket No. 16-570 concluding that no violation of the Code of Governmental Ethics is presented by Tire Crew, LLC selling tires to the transportation department of the Caddo Parish School System when the company is owned by Steve Umling, an employee of the Caddo Parish School System's maintenance department, since the maintenance department and the transportation department are separate agencies within the Caddo Parish School System.

Adopted an advisory opinion in Docket No. 16-574 concluding that Section 1113A(1)(a) of the Code of Governmental Ethics would prohibit John Vincent II from working as a contract employee for companies that have partnered with Belle Chasse Academy to provide after-school programs while his daughter-in-law, April Vincent, is in charge of providing the after-school programs, since Ms. Vincent's agency is Belle Chasse Academy.

Adopted an advisory opinion in Docket No. 16-578 concluding that no violation of the Code of Governmental Ethics is presented by Bernard M. Plaia, Jr., an employee of the Governor's Office

of Homeland Security and Emergency Preparedness (GOHSEP), seeking employment as an insurance analyst once he retires from GOHSEP, since Mr. Plaia did not provide insurance analysis services as a staff attorney for GOHSEP.

Adopted an advisory opinion in Docket No. 16-595 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Dr. SreyRam Kuy, the Medicaid Medical Director/Chief Medical Officer of the Bureau of Health Services Financing (BHSF) for the Department of Health and Hospitals (DHH), from accepting employment with a Louisiana licensed hospital or other healthcare provider that accepts Medicaid payments for medical services, since Our Lady of the Lake (OLOL), other Louisiana licensed hospitals and other healthcare providers that accept Medicaid payments for medical services are regulated by Dr. Kuy's agency, the BHSF.

Adopted an advisory opinion in Docket No. 16-597 concluding that no violation of the Code of Governmental Ethics would be presented by Benjamin Swig, an employee of the City of New Orleans Emergency Medical Services (EMS), starting a company, Ready Responders, which would enter into a cooperative endeavor with EMS within two years of the termination of his service with EMS, since Ready Responders would be assisting on new cases and not any case in which Mr. Swig participated while employed with EMS. The Board further advised that Section 1121B of the Code of Governmental Ethics would prohibit Mr. Swig from rendering services as a paramedic under the Ready Responders cooperative endeavor with EMS for two years following the termination of his public service.

The Board considered the following general business agenda items:

On motion made, seconded and unanimously passed, the Board approved the minutes of the June 16-17, 2016 meetings.

The Board considered a consent opinion in Docket No. 10-705 regarding Maurice Brown, former Mayor of the Town of White Castle, who was involved in and convicted during "Operation Blighted Official". On motion made, seconded and unanimously passed, the Board dismissed the charges against Maurice Brown.

The Board considered a request for an advisory opinion in Docket No. 16-576 regarding whether Johnny Bradberry, Executive Assistant to the Governor for Coastal Activities and Chairman of the Coastal Protection and Restoration Authority Board, may accept a bonus check from Volkert, Inc., based on services previously provided and company performance during calendar year 2015. On motion made, seconded and unanimously passed, the Board concluded that no violation of the Code of Governmental Ethics would be presented by Mr. Bradberry accepting the bonus check from Volkert, Inc., since the bonus check is not for services previously provided by Mr. Bradberry as a public servant or for services rendered while he was public servant and since the bonus check was earned based on company performance prior to Mr. Bradberry's appointment to his public position.

The Board considered a request for an advisory opinion in Docket No. 16-582 regarding whether Jennifer Jelks may be reappointed to the position of Norwood Town Clerk while her husband, Anthony Jelks, serves as a member of the Town of Norwood Board of Aldermen. On motion made, seconded and unanimously passed, the Board concluded that even though Ms. Jelks has been the Norwood Town Clerk, pursuant to the law, her position has a term that expires with the terms of the members of the Board of Aldermen and she cannot continue in her position without the Mayor reappointing her to her position and without the Board of Alderman confirming that appointment. As such, Ms. Jelks would be entering into a transaction that is under the supervision or jurisdiction to her husband's agency and would be prohibited by Section 1113A of the Code of

Governmental Ethics. The Board further advised that since the appointment is prohibited, the issue regarding Mr. Jelks' participation is moot.

The Board considered a request for an advisory opinion in Docket No. 16-596 regarding whether Elizabeth Hager, the daughter of Amelia McLin, the Director of Central Community School System's Special Education Department, may be employed as a special education paraprofessional at Tanglewood Elementary. On motion made, seconded and unanimously passed, the Board concluded that no violation of the Code of Governmental Ethics would be presented by Ms. Hager's employment, since her agency would be Tanglewood Elementary and she would be exclusively supervised by the principal, Julie Stevens. The Board further advised that Section 1112B(1) of the Code of Governmental Ethics would prohibit Ms. McLin from participating in any transaction in which her daughter, Ms. Hager, has a substantial economic interest.

The Board considered a request for an advisory opinion in Docket No. 16-640 regarding whether Faith Joseph may be promoted to principal of L.W. Higgins High School within the Jefferson Parish School System (JPSS) while her husband, Isaac Joseph, serves as the Superintendent of JPSS. On motion made, seconded and unanimously passed, the Board concluded that no violation of the Code of Governmental Ethics would be presented by Ms. Joseph being promoted to principal of L.W. Higgins High School, since she was employed as the principal of Henry Ford Middle School for more than one year before her husband became the Superintendent of JPSS; the described promotion would be considered a normal promotional advancement; and, provided that the disqualification plan in Docket No. 15-1007 remains in effect and Mr. Joseph does not participate in any personnel matter pending before the school board regarding his wife, Faith Joseph.

Ms. Allen provided a status report with respect to legislation for the 2016 Regular Legislative Session and advised the Board that personal financial disclosure form change proposals would be included on the August agenda for their review.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed against candidates and committees included in the Campaign Finance Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Campaign Finance Waiver Chart, excluding Docket Nos. 16-585 and 16-589, taking the following action:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 16-584 from Joseph Lockett, Sr. of two \$2,000 late fees;
Docket No. 16-586 from Treme Improvement Political Society of three \$3,000 late fees and a \$2,000 late fee;
Docket No. 16-588 from Craig B. Lee of a \$40 late fee; and,
Docket No. 16-593 from Shereba L. Diaz of a \$420 late fee.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-587 for a waiver of the \$540 late fee assessed against Eugene J. Green, a candidate for State Representative, District 97 in the November 4, 2014 election, for filing his 10-P campaign finance disclosure report 9 days late. On motion made, seconded and unanimously passed, the Board rescinded the late fee pursuant to Rule 1205D, since Mr. Green withdrew from the election and had no activity.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-591 for a waiver of the \$1,000 late fee assessed against

Kenneth Wilson, a candidate for Monroe City Council, District 4 in the March 5, 2016 election, for filing his 30-P campaign finance disclosure report 110 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,000 late fee but suspended \$900 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-592 for a waiver of the \$360 late fee assessed against Buddy Boe, a candidate for St. John the Baptist Parish Council, District 7 in the October 24, 2015 election, for filing his 2015 Supplemental campaign finance disclosure report 9 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$360 late fee but suspended \$120 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-593 for a waiver of the \$2,000 late fee assessed against Shereba L. Diaz, a candidate for Judge, 4th Judicial District in the November 4, 2014 election, for filing her 2015 Supplemental campaign finance report 123 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,000 late fee but suspended \$1,800 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-594 for a waiver of the \$900 campaign finance late fee assessed against Candice Bates-Anderson, a candidate for New Orleans Juvenile Court Judge in the October 2, 2010 election, for filing her 2015 Supplemental campaign finance disclosure report 9 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$900 late fee but suspended \$600 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-585 for a waiver of the \$3,000 late fee and the \$1,800 late fee assessed against Third Rail PAC, LLC and its chairman, Darrell W. Hunt, in connection with the political committee's participation in the October 24, 2015 primary election for filing the 10-G campaign finance disclosure report 105 days late and the 2015 Annual campaign finance disclosure report 9 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$3,000 late fee with respect to the 10-G campaign finance disclosure report but suspended the entire late fee and declined to waive the \$1,800 late fee in connection with respect to the 2015 Annual campaign finance disclosure report but suspended \$1,300 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-589 for a waiver of the \$360 late fee assessed against Corey Broussard, a candidate for St. Martin Parish Sheriff in the October 24, 2015 election, for filing his

10-G campaign finance disclosure report 6 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$360 late fee but suspended \$160 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed against individuals contained in the Personal Financial Disclosure Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Personal Financial Disclosure Waiver Chart, excluding Docket Nos. 16-599, 16-604, 16-605, 16-606, 16-607, 16-616 and 16-624, taking the following action:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 16-175 from Tammy Hartley of a \$1,500 late fee;
Docket No. 16-600 from Logan Williamson of a \$1,500 late fee;
Docket No. 16-609 from Mary Riggs-Cook of a \$1,500 late fee;
Docket No. 16-610 from Thomas Bott of a \$1,500 late fee;
Docket No. 16-614 from Shannon Dietz of a \$1,500 late fee;
Docket No. 16-615 from Alena Aycock of a \$700 late fee with the offer of a payment plan;
Docket No. 16-618 from Margaret Brown of a \$2,500 late fee and advise Ms. Brown that the Board will not reconsider the waiver request unless the personal financial disclosure statement is filed; and,
Docket No. 16-635 from Benjamin Bowie of a \$1,500 late fee.

The Board considered a request in Docket No. 16-598 for a waiver of the \$1,500 late fee assessed against Cynthia Fulton Solomon, a former member of the Kingswood Improvement District, for filing her 2013 Tier 2.1 Annual personal financial disclosure statement 278 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,250 conditioned upon future compliance with the Code of Governmental

Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request in Docket No. 16-602 for a waiver of the \$1,500 late fee assessed against Jack Patton Mabray Jr., a member of the Fifth Louisiana Levee District, for filing his 2014 Tier 2.1 Annual personal financial disclosure statement 101 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,050 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request in Docket No. 16-603 for a waiver of the \$1,500 late fee assessed against Vincent P. Borrello Jr., a member of the Board of Examiners of Certified Shorthand Reporters, for filing his 2014 Tier 2.1 Annual personal financial disclosure statement 108 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,050 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request in Docket No. 16-608 for a waiver of the \$1,500 late fee assessed against Floyd Lavon Barber, a member of the Clayton Board of Aldermen, Concordia Parish, for filing his 2014 Tier 3 Annual personal financial disclosure statement 36 days late. On motion made, seconded and unanimously passed, the Board waived the \$1,500 late fee.

The Board considered a request in Docket No. 16-611 for a waiver of the \$2,500 late fee assessed against Brian Leslie Pope, a candidate for Lafayette City Court Marshal in the November

4, 2014 election, for filing his amended 2013 Tier 2 Candidate personal financial disclosure statement 485 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-613 for a waiver of the \$2,500 late fee assessed against Michael Dean Slocum, a former member of the Louisiana Board of Pardons, for filing his amended 2013 Tier 2 Annual personal financial disclosure statement 279 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-617 for a waiver of the \$1,500 late fee assessed against Fred Loren Schroeder, a former member of the St. Tammany Parish Fire Protection, District 8, for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 207 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,250 conditioned upon future compliance with the Code of Governmental Ethics and with the option of a payment plan.

The Board considered a request in Docket No. 16-619 for a waiver of the \$1,500 late fee assessed against Francis P. Johnson III, a former member of the Louisiana Board of Massage Therapists, for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 224 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,250 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the

full amount becomes due and owing.

The Board considered a request in Docket No. 16-620 for a waiver of the \$1,500 late fee assessed against David B. Trent, a former member of the Pilot Fee Commission, for filing his 2014 Tier 2.1 Annual personal financial disclosure statement 97 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,250 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request in Docket No. 16-621 for a waiver of the \$1,500 late fee assessed against Stacy Jean Hoover, a member of the Tangipahoa Parish Convention and Visitors Bureau, for filing her 2013 Tier 2.1 Annual personal financial disclosure statement 318 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-623 for a waiver of the \$1,500 late fee assessed against James Carl Pendley, Louisiana Highway Commission, for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 424 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-599 for a waiver of the \$1,500 late fee assessed against Robert Shannon Blake, an unsuccessful candidate for Winn Parish Police Jury, District 5 in the October 24, 2015 election, for filing his Tier 3 Candidate personal financial

disclosure statement 142 days late. On motion made, seconded and unanimously passed, the Board continued the matter.

The Board considered a request in Docket No. 16-616 for a waiver of the two \$1,500 late fees assessed against Mark Daniel Badeaux, Madisonville Town Council, St. Tammany Parish, for filing his 2013 Tier 3 Annual personal financial disclosure statement 56 days late and his 2014 Tier 3 Annual personal financial disclosure statement 56 days late. On motion made, seconded and unanimously passed, the Board continued the matter.

The Board considered a request in Docket No. 16-605 for a waiver of the \$1,500 late fee assessed against Thomas Randolph "Tom" Hanlon, a former member of the South Burbank Crime Prevention and Development District, for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 148 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,250 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request in Docket No. 16-606 for a waiver of the \$1,500 late fee assessed against Myriam Robinson "Mimi" Bowen, a former member of the Audubon Commission, for filing her 2014 Tier 2.1 Annual personal financial disclosure statement 202 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-624 for a waiver of the \$1,500 late fee assessed against Kenneth John Kimberly, a former member of the St. Tammany Parish Fire

Protection, District 12, for filing his 2014 Tier 2.1 Annual personal financial disclosure statement 162 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,250 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered an untimely request in Docket No. 16-622 for a waiver of the \$1,500 late fee assessed against James M. Besselman, Jr., a former member of the Earnest N. Morial New Orleans Exhibition Hall Authority, for filing his 2014 Tier 2.1 Annual personal financial disclosure statement 119 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee.

The Board considered a request for reconsideration in Docket No. 16-083 for a waiver of the \$1,500 late fee assessed against Randy Alexander, a candidate for the Jeanerette Board of Aldermen, Iberia Parish, in the October 24, 2015 election, for filing his 2014 Tier 3 Candidate personal financial disclosure statement 197 days late. On motion made, seconded and unanimously passed, the Board rescinded the \$1,500 late fee, since Mr. Alexander withdrew from the election and has filed the required disclosure statement.

The Board considered a request for reconsideration in Docket No. 16-176 for a waiver of the \$1,500 late fee assessed against J. Jerome Smith DDS, a member of the State Board of Dentistry, for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 202 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

On motion made, seconded and unanimously passed, the Board dismissed the lawsuit and the charges in Docket No. 12-1470 against Sheriff Royce Toney, Ouachita Parish.

The Board unanimously resolved into executive session to consider complaints and reports deemed confidential pursuant to Section 1141 of the Code of Governmental Ethics.

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EXECUTIVE BUSINESS

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The Board unanimously resolved into general business session and adjourned at 11:16 a.m.

Secretary

APPROVED:

Chairman

